AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
KAGAN, ELENA	SUPREME COURT	05/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
ASSOCIATE JUSTICE	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2013 **to** 12/31/2013
7. Chambers or Office Address		
1 FIRST STREET NE WASHINGTON, D.C. 20543		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	VISITING PROFESSOR (JULY 2013)	UNIVERSITY OF THE PACIFIC MCGEORGE SCHOOL OF LAW
2.	VISITING PROFESSOR (SEPTEMBER 2013)	HARVARD LAW SCHOOL
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2013	UNIVERSITY OF PACIFIC - TEACHING	$12,500.00
2.	2013	PRESIDENT AND FELLOWS OF HARVARD COLLEGE - TEACHING	$14,000.00
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	NEW YORK UNIVERSITY SCHOOL OF LAW	APRIL 9, 2013	NEW YORK, NY	SPEECH	TRANSPORATION, MEALS
2.	ASPEN INSTITUTE	JUNE 27-JULY 2, 2013	ASPEN, CO	SPEECH	TRANSPORTATION, HOTEL, MEALS
3.	UNIVERSITY OF THE PACIFIC MCGEORGE SCHOOL OF LAW	JULY 15-23, 2013	SALZBURG, AUSTRIA	TEACHING	TRANSPORTATION, HOTEL, MEALS
4.	TOURO SYNAGOGUE FOUNDATION	AUGUST 17-18, 2013	NEWPORT, RI	SPEECH	TRANSPORTATION, HOTEL, MEALS

5.	RHODE ISLAND STATE HOUSE RESTORATION SOCIETY	AUGUST 19-20, 2013	PROVIDENCE, RI	SPEECH	HOTEL, MEALS
6.	HARVARD LAW SCHOOL	SEPTEMBER 2-8, 2013	CAMBRIDGE, MA	TEACHING, SPEECH	TRANSPORTATION, HOTEL, MEALS
7.	UNIVERSITY OF KENTUCKY COLLEGE OF LAW	SEPTEMBER 19-20, 2013	LEXINGTON, KY	SPEECH	TRANSPORTATION, HOTEL, MEALS
8.	UNIVERSITY OF ALABAMA SCHOOL OF LAW	OCTOBER 3-5, 2013	TUSCALOOSA, AL	SPEECH	TRANSPORTATION, HOTEL, MEALS
9.	SUPREME COURT HISTORICAL SOCIETY	OCTOBER 24-26, 2013	NEW YORK, NY	SPEECH	TRANSPORATION, MEALS
10.	HISTORICAL SOCIETY OF THE NEW YORK COURTS	OCTOBER 24-26, 2013	NEW YORK, NY	SPEECH	HOTEL

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. JUSTICE FEDERAL CREDIT UNION ACCOUNT	A	Interest	M	T					
2. FRANKLIN TEMPLETON MUTUAL BEACON FUND	B	Dividend	L	T					
3. VANGUARD PRIME MONEY MKT FUND	A	Dividend	K	T					
4. VANGUARD TOTAL STOCK MKT INDEX ADM	C	Dividend	M	T					
5. UNIV. OF CHICAGO RET - TIAA TRADITIONAL	B	Interest	K	T					
6. UNIV. OF CHICAGO RET - CREF STOCK		None	K	T					
7. UNIV. OF CHICAGO RET - CREF BOND MARKET VIABILITY ANNUITY		None	K	T					
8. UNIV. OF CHICAGO RET - VANGUARD INT-TERM BOND	B	Dividend	K	T					
9. UNIV. OF CHICAGO RET - VANGUARD WINDSOR FUND	A	Dividend	K	T					
10. IRA # 1 - FIDELITY MAGELLAN FUND	D	Dividend	M	T					
11. IRA # 1 - FIDELITY PURITAN FUND	D	Dividend	M	T					
12. IRA # 1 - FIDELITY INTERMED BOND FUND	B	Dividend	L	T					
13. IRA # 2 - VANGUARD TOTAL BOND MKT INDEX	A	Dividend	K	T					
14. IRA # 2 - VANGUARD FED MONEY MKT FUND	A	Dividend	J	T					
15. IRA # 2 - VANGUARD WELLESLEY INC FUND	C	Dividend	L	T					
16. IRA # 2 - VANGUARD 500 INDEX FUND	A	Dividend	K	T					
17. IRA # 2 - VANGUARD INTL EXPLORER FUND	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. DREYFUS GNMA FUND - CLASS Z	A	Dividend	J	T					
19. VANGUARD - GNMA FUND INVESTOR SHARES	A	Dividend	J	T					
20. CHARLES SCHWAB MONEY MARKET ACCOUNT	A	Dividend	L	T	Distributed (part)	04/01/13	J		
21. SCHWAB S&P 500 INDEX FUND	B	Dividend	M	T					
22. WASHINGTON, DC - RENTAL PROPERTY	B	Rent	K	W					

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2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
KAGAN, ELENA	05/08/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ ELENA KAGAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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